

PTO/SB/21 (05-09)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/852,408
Filing Date	May 9, 2001
First Named Inventor	Carlos Schuler
Art Unit	3743
Examiner Name	B. Patel
Attorney Docket Number	0064.00

Total Number of Pages in This Submission

13

**ENCLOSURES** (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/Declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Guy W. Tucker: Nektar Therapeutics
Signature	<i>Guy W. Tucker</i>
Date	6-5-03

**CERTIFICATE OF TRANSMISSION/MAILING**

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Typed or printed name	Kathy Honnert	Date	06/05/2003
Signature	<i>Kathy Honnert</i>		

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# FEE TRANSMITTAL

## for FY 2003

Effective 01/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$ 930.00)

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## Complete if Known

Application Number	09/852,408
Filing Date	May 9, 2001
First Named Inventor	Carlos Schuler
Examiner Name	B. Patel
Art Unit	3743
Attorney Docket No.	0064.00

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)			
<input type="checkbox"/> Check	<input type="checkbox"/> Credit card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other				
<input checked="" type="checkbox"/> Deposit Account:							
Deposit Account Number: 500348							
Deposit Account Name: Nektar Therapeutics							
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<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application							
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<b>1. BASIC FILING FEE</b>				<b>3. ADDITIONAL FEES</b>			
Large Entity	Small Entity	Fee Description	Fee Paid	Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (S)	Fee Code (S)			Fee Code (S)	Fee Code (S)		
1001 750	2001 375	Utility filing fee		1061 130	2051 65	Surcharge - late filing fee or oath	
1002 330	2002 165	Design filing fee		1062 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1003 280	2003 140	Plant filing fee				Non-English specification	
1004 750	2004 375	Reissue filing fee		1053 130	1053 130	For filing a request for ex parte reexamination	
1005 160	2005 80	Provisional filing fee		1812 2,520	1812 2,520	Requesting publication of SIR prior to Examiner action	
SUBTOTAL (1) (\$)				1804 920*	1804 920*	Requesting publication of SIR after Examiner action	
				1805 1,840*	1805 1,840*	Extension for reply within first month	
				1251 110	2251 55	Extension for reply within second month	
				1252 410	2252 205	Extension for reply within third month	
				1253 830	2253 465	Extension for reply within fourth month	
				1254 1,450	2254 725	Extension for reply within fifth month	
				1255 1,870	2255 935	Notice of Appeal	
				1401 320	2401 160	Filing brief in support of an appeal	
				1402 320	2402 160	Request for oral hearing	
				1403 260	2403 140	Petition to institute a public use proceeding	
				1451 1,510	2451 1,510	Petition to revive - unavoidable	
				1452 110	2452 55	Petition to revive - unintentional	
				1453 1,300	2453 650	Utility issue fee (or reissue)	
				1601 1,300	2601 650	Design issue fee	
				1602 470	2602 235	Plant issue fee	
				1603 630	2603 315	Petitions to the Commissioner	
				1604 130	2604 65	Processing fee under 37 CFR 1.17(c)	
				1607 50	2607 25	Submission of Information Disclosure Stmt	
				1806 180	2806 90	Recording each patent assignment per property (less number of properties)	
				8021 40	8021 40	Filing a submission after final rejection (37 CFR 1.125(a))	
				1808 750	2808 375	For each additional invention to be examined (37 CFR 1.125(b))	
				1810 750	2810 375	Request for Continued Examination (RCE)	
				1801 750	2801 375	Request for expedited examination of a design application	
				1802 900	2802 450		
SUBTOTAL (2) (\$)				SUBTOTAL (3) (\$)			
				930.00			

SUBMITTED BY		Registration No.	45,302
Name (Print/Type)		Telephone	650-631-3100
Signature		Date	June 5, 2003

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### FACSIMILE TRANSMITTAL SHEET

TO: Examiner N. Patel

FROM: Guy V. Tucker

COMPANY: U.S. PTO Group 3743

PHONE NUMBER: (650) 631-3100

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PHONE NUMBER:

DATE: June 5, 2003

RE: U.S. Serial No. 09/652,408

TOTAL NO. OF PAGES: 14  
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NOTES/COMMENTS:

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